



ATTORNEY DOCKET NO.: 2003080-0142 (SK-893-B-US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Danishefsky, *et al.*

Examiner: TBA

Serial No.: 10/728,041

Art Unit: TBA

Filing Date: December 3, 2003

Title: **CLUSTERED MULTI-ANTIGENIC CARBOHYDRATE CONSTRUCTS, METHODS FOR THEIR PREPARATION, AND USES THEREOF**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

APPOINTMENT OF ATTORNEY

The undersigned hereby appoints:

Practitioner	Registration Number
Sam Pasternack, Ph.D.	29,576
Donald W. Muirhead	33,978
Brenda Herschbach Jarrell, Ph.D.	39,223
Anne E. Saturnelli	41,290
Kevin Tormey	41,351
Elizabeth E. Nugent, Ph.D.	43,839
Theresa Devlin, Ph.D.	45,361
Valarie B. Rosen, Ph.D.	45,698
C. Hunter Baker, M.D., Ph.D.	46,533
Monica R. Gerber, M.D., Ph.D.	46,724
Elijah Cocks	47,499
Charles E. Lyon, Ph.D.	Limited Recognition Under 37 C.F.R. § 10.9(b)
Nadège M. Lagneau, Ph.D.	51,908
Stacy Blasberg	52,625

as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to:

Patent Group
Brenda Herschbach Jarrell, Ph.D.
Choate, Hall & Stewart
Exchange Place, 53 State Street,
Boston, Massachusetts 02109

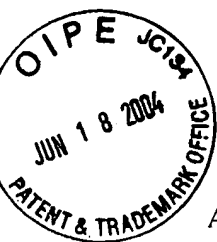
Respectfully submitted,

Dated: _____


Name: James S. Quirk

Title: Senior Vice President, Research Resources Mgmt.

On behalf of Sloan-Kettering Institute
for Cancer Research



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Alexandria, VA 22313-1450

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR § 3.73(b))

The inventors of the above-referenced United States patent application have assigned their entire right, title, and interest in the inventions disclosed therein according to the following table:

Inventor	Assignee
Samuel J. Danishefsky	Sloan-Kettering Institute for Cancer Research
Stacy J. Keding	Sloan-Kettering Institute for Cancer Research

Thus, the Assignees of the inventors' entire right, title, and interest are:

Sloan-Kettering Institute for Cancer Research

According to 37 CFR § 3.73(b), the Assignee is entitled to take action relating to the application in the Patent and Trademark Office if the Assignee establishes ownership to the satisfaction of the Commissioner.

Ownership by the assignee is established as follows:

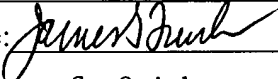
_____ documents already recorded in the PTO on _____.

Reel _____ Frame _____; and

X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, person(s) authorized to sign on behalf of the Assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as Assignee who seeks to take further action.

Name/Title	Assignee	Date
Signature:  Name: James S. Quirk Senior Vice President Title: Research Resources Mgmt.	Sloan-Kettering Institute for Cancer Research 1275 York Avenue New York, New York 10021	<u>6/10/04</u>



ASSIGNMENT

COPY

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned **Samuel J. Danishefsky**, of Englewood, NJ; and **Stacy J. Keding**, of New York, NY hereby

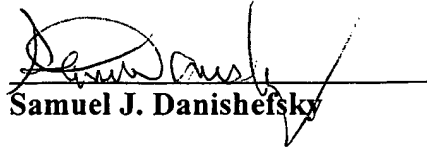
Sells, assigns and transfers to **SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH**, having a place of business at **1275 York Avenue, New York, New York 10021**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries; in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled **"CLUSTERED MULTI-ANTIGENIC CARBOHYDRATE CONSTRUCTS, METHODS FOR THEIR PREPARATION, AND USES THEREOF"** filed **December 3, 2003**, and given serial number **10/728,041**, in and to said application and all divisional, continuing, substitute, renewal, reissue reexamination or other application for Letters Patent which has been or shall be filed in the United States or any foreign country on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reissue, reexamination and all other patent applications on any and all said inventions; by executing all rightful oaths, assignments, powers of attorney and other papers; by communicating to said ASSIGNEE all facts known to him/her relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her, and that full right to convey the same as herein expressed is possessed by him/her.

IN WITNESS WHEREOF, I hereto set my hand and seal at 1275 York Avenue,
Memorial Sloan Kettering this 8 th day of June, 2004.


Samuel J. Danishefsky

STATE OF New York SS.
COUNTY OF New York

Before me this 8th day of JUNE, 2004, personally appeared
Samuel J. Danishefsky known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he/she executed the same as his/her free act and
deed for the purposes therein contained.



NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

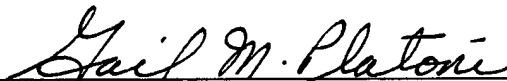
GAIL M. PLATONI
NOTARY PUBLIC, State of New York
No. 03-4907327
Qualified in Bronx County
Commission Expires Sept. 28, 2 005

IN WITNESS WHEREOF, I hereto set my hand and seal at 1275 York Avenue,
Memorial Sloan Kettering this 8 th day of June, 2004.


Stacy J. Keding

STATE OF New York SS.
COUNTY OF New York

Before me this 8th day of JUNE, 2004, personally appeared
Stacy J. Keding known to me to be the person whose name is subscribed to the foregoing
Assignment and acknowledged that he/she executed the same as his/her free act and deed for the
purposes therein contained.


NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires: **GAIL M. PLATONI**
NOTARY PUBLIC, State of New York
No. 03-4907327
Qualified in Bronx County
Commission Expires Sept. 28, 2005



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Sir:

WRITTEN ASSERTION OF SMALL ENTITY STATUS

UNDER 37 CFR §1.27(a)(3)

NON-PROFIT ORGANIZATION

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of **Sloan-Kettering Institute for Cancer Research**.

This assertion is made on the ground that **Sloan-Kettering Institute for Cancer Research** is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or
- (d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

Name: James S. Quirk

Title: Senior Vice President, Research Resources Mgmt.

Date: